



1DWLRQDO 3DWLHQW 6DIHW\
 (IHFVLYH -DQXDU\ IRU W
 &RPPXQLW\ 3URJUDP

* RDO

,PSURYH WKH DFFXUDF\ RI UHVLGHQW LGHQWLILFDWLRQ

136*

8VH DW OHDVW WZR UHVLGHQW LGHQWLILHUV ZKHQ SURYLGLQJ FDUH W
 1RWH \$W WKH ILUVW HQFRXQWHU WKH UHTXLUHPHQW IRU WZR LGHQWL
 RQH RQ RQH FDUH LQ ZKLFK WKH LQGLYLGXDO SURYLGLQJ FDUH NQRZV\

5DWLRQDOH IRU 136*

3URYLGLQJ FDUH RU VHUFLFHV WR WKH ZURQJ UHVLGHQW FDQ RFFXU LQ
 WKLV JRDO LV WZR IROG ILUVW WR UHOLDEO\ LGHQWLI\ WKH UHVLGHQW
 VHFRQG WR PDWFK WKH VHUFLFH RU WUHDWPHQW WR WKDW UHVLGHQW
 DVVLJQH LGHQWLILFDWLRQ QXPEHU WHOHSKRQH QXPEHU RU RWKHU S

(OHPHQW V RI SHUIRUPDQFH IRU 136*

(3 8VH DW OHDVW WZR UHVLGHQW LGHQWLILHUV ZKHQ DGPLQLVWHU
 VSHFLPHQV IRU FOLQLFDO WHVWLQJ DQG ZKHQ SURYLGLQJ WUHD
 SK\VLFDO ORFDWLRQ LV QRW XVHG DV DQ LGHQWLILHU 6HH DOV

(3 /DEHO FRQWDLQHUV XVHG IRU EORRG DQG RWKHU VSHFLPHQV LQ

* RDO

,PSURYH WKH VDIHW\ RI XVLQJ PHGLFDWLRQV

,QWURGXFWLRQ WR 5HFRQFLOLQJ OHGLFDWLRQ ,QIRUPDWLRQ

7KH ODUJH QXPEHU RI SHRSOH UHFHLYLQJ KHDOWK FDUH ZKR WDNH PXO
 PHGLFDWLRQV PDNH PHGLFDWLRQ UHFRQFLOLDWLRQ DQ LPSRUWDQW VD
 OLFHQVHG SUDFWLWLRQHURFRPSDUHV WKH PHGLFDWLRQV D UHVLGHQW\
 WKDW DUH RUGHUHG IRU WKH UHVLGHQW DQG UHVROYHV DQ\ GLVFUHS\

7KH -RLQW &RPPVVLRQ UHFRJQLJHV WKDW RUJDQLJDWLRQV IDFH FKDOO
 UHFRQFLOLDWLRQ UHTXLUHV D FRPSOHWH XQGHUVWDQGLQJ RI ZKDW WK
 DFWXDOO\ WDNLQJ ,W FDQ EH GLIILFXOW WR REWDLQ D FRPSOHWH OLV
 GHSHQGHQW RQ WKH UHVLGHQW\V DELOLW\ DQG ZLOOLQJQHVV WR SURY
 LV UHFRJQLJHG DV PHHWLQJ WKH LQWHQW RI WKH UHTXLUHPHQW \$V KH
 V\WHPV VXFK DV FHQWUDOLJHG GDWDEDVHV IRU SUHVFULELQJ DQG FR
 SURFHVVHV ZLOO JURZ



1DWLRQDO 3DWLHQW 6DIHW\ *R
 (IIHFWLYH -DQXDU\ IRU WKH
 &RPPXQLW\ 3URJUDP

7KLV 1DWLRQDO 3DWLHQW 6DIHW\ *RDO 136* IRFXVHV RQ WKH ULVN SR
 SHUIRUPDQFH LQ WKLV 136* DUH GHVLJQHG WR KHOS RUJDQLJDWLRQV UI
 PHGLFDWLRQ GLVFUHSQFLHV 6RPH DVSHFWV RI WKH FDUH SURFHVV WI
 LQ WKH VWDQGDUGV UDWKHU WKDQ LQ WKLV JRDO 7KHVH LQFOXGH FRR
 RXWVLGH RI WKH RUJDQLJDWLRQ 3& DQG FRPPXQLFDWLRQV ZLWI

,Q VHWWLQJV ZKHUH PHGLFDWLRQV DUH QRW URXLWQHO\ SUHVFULEHG F
 IOH[LLEOLW\ WR GHFLGH ZKDW PHGLFDWLRQ LQIRUPDWLRQ WKH\ QHHG V
 RIWHQ LPSRUWDQW IRU SK\VLFLDQV RU RWKHU OLFHQVHG SUDFWLWLRQI
 FDUH WUHDWPHQW DQG VHUylFHV HYHQ LQ VLWXDWLRQV ZKHUH PHGL

136*

ODLQWDLQ DQG FRPPXQLFDWH DFFXUDWH UHVLGHQW PHGLFDWLRQ LQIRU

5DWLRQDOH IRU 136*

7KHUH LV HYLGHQFH WKDW PHGLFDWLRQ GLVFUHSQFLHV FDQ DIIHFW R;
 UHVROYH GLVFUHSQFLHV²LW LV D SURFHVV RI FRPSDULQJ WKH PHGLFD
 RUGHUHG PHGLFDWLRQV 7KH FRPSDULVRQ DGGUHVHV GXSOLFDWLRQV
 FXUUHQW PHGLFDWLRQV 7KH W\SHV RI LQIRUPDWLRQ WKDW SK\VLFLDQV
 LQFOXGH DPRQJ RWKHUV PHGLFDWLRQ QDPH GRVH IUHTXHQF\ URXWH
 LQIRUPDWLRQ WKDW QHHG WR EH FROOHFWHG LQ RUGHU WR UHFRQFLC
 PHGLFDWLRQV LQ WKH IXWXUH

(OHPHQW V RI SHUIRUPDQFH IRU 136*

(3 2EWDLQ LQIRUPDWLRQ IRU H[DPSOH QDPH GRVH URXWH IUHTX
 UHVLGHQW LV FXUUHQWO\ WDNLQJ ZKHQ WKH\ PRYH LQWR RU LV
 GRFXPHQWHG LQ D OLVW RU RWKHU IRUPDW WKDW LV XVHIXO WR

1RWH 7KH RUJDQLJDWLRQ REWDLQV WKH UHVLGHQW V PHGLFDW
 RUJDQLJDWLRQ 7KLV LQIRUPDWLRQ LV XSGDWHG ZKHQ WKH UHVL
 LQ DQRWKHU VHWWLQJ VXFK DV D KRVSLOWDO RU SK\VLFLDQ V RI

1RWH &XUUHQW PHGLFDWLRQV LQFOXGH WKRVH WDNHQ DW VFK
 *ORVVDU\ IRU D GHILQLWLRQ RI PHGLFDWLRQV &RQWDFW WKH SL

1RWH ,W LV RIWHQ GLIILFXOW WR REWDLQ FRPSOHWH LQIRUPD
 HIIRUW WR REWDLQ WKLV LQIRUPDWLRQ IURP D UHVLGHQW DQG R
 WKH (3

'RFXPHQWDWLRQ LV UHTXLUHG

(3 &RPSDUH WKH PHGLFDWLRQ LQIRUPDWLRQ WKH UHVLGHQW EURXJ
 WKH UHVLGHQW E\ WKH SK\VLFLDQ RU RWKHU OLFHQVHG SUDFWL

1RWH 'LVFUHSQFLHV LQFOXGH RPLVVLRQV GXSOLFDWLRQV FR
 TXDOLILHG LQGLYLGXDO LGHQWLILHG E\ WKH RUJDQLJDWLRQ GR

* R D O

5HGXFH WKH ULVN RI KHDOWK FDUH±DVVRFLDWHG LQIHFWLRQV

136*

&RPSO\ ZLWK HLWKHU WKH FXUUHQW &HQWHUV IRU 'LVHDPH &RQWURO D
:RUOG +HDOWK 2UJDQL]DWLRQ :+2 KDQG K\JLHQH JXLGHOLQHV

5DWLRQDOH IRU 136*

\$FFRUGLQJ WR WKH &HQWHUV IRU 'LVHDPH &RQWURO DQG 3UHYHQWLQJ

(OHPHQW V RI SHUIRUPDQFH IRU 136*

(3 \$VVHV V WKH UHVLGHQW V ULVN IRU IDOOV

(3 ,PSOHPHQW LQWHUYHQWLRQV WR UHGXFH IDOOV EDVHG RQ WKH I

(3 (GXFDWH VWDII RQ WKH IDOO UHGXFWRQ SURJUDP LQ WLPH IUDF

(3
